

**WARNING LETTER ABOUT FINANCIAL RESPONSIBILITY**

(date)

(name of owner, partner, or corporate officer)

(name of company)

(address of company)

Dear (name):

This document confirms the information provided to you on (date) regarding your company's unsatisfactory level of compliance with 49 CFR Part 387 - Minimum Levels of Financial Responsibility for Motor Carriers. Your company is not authorized to operate without the required level of financial responsibility. Motor carrier operations of your company must cease until the required level of financial responsibility has been obtained.

Noncompliance with the financial responsibility requirements necessitates immediate action on your part. Such actions must include:

1. Obtain the minimum level of financial responsibility as required by 49 CFR Part 387. After reviewing your company's operations, it must have (\$750,000; \$1,000,000; or \$5,000,000) of financial responsibility in effect. Once this level of financial responsibility has been obtained, a copy of proof (Form MCS-90(B) or Form MCS-82(B)) must be mailed to me within ten business days after your receipt of this letter. I recommend that your mailing be certified with return receipt requested.
2. If your company has the proper level of financial responsibility in effect, but proof of such level is not maintained at the principal place of business, a copy of proof (Form MCS-90(B) or Form MCS-82(B)) must be mailed to me within ten business days after your receipt of this letter. I recommend that your mailing be certified with return receipt requested.

If you fail to accomplish the above corrective actions within 10 business days, an enforcement proceeding may be initiated against your company. If, after notice and an opportunity for a hearing, it is determined that a knowing violation has occurred, civil penalties of not more than \$10,000 can be assessed for each day that your company fails to have the required level of financial responsibility in effect (see 49 U.S.C. §§ 31138 and 31139, and 49 CFR § 387.17). The United States Attorney may bring a civil action in the appropriate district court of the United States to collect these penalties.

If you have any questions regarding this letter or have problems achieving compliance with the financial responsibility requirements, please contact me at (telephone number).

Sincerely,

(your name)

(your title)